



Licensed Start-Up Company (LSC) Policy Compliance Statement

With my signature below, I affirm that I have read and understand University of South Alabama Policies Conflict of Interest in Research and Patent Rights, collectively hereinafter, the "Policies."

I agree to comply with the above Policies and any COI management plans related to my relationship(s) with the LSC.

_____ (hereinafter "the company")

Specifically, I agree to the following:

1. I will obtain approval from my supervisor before entering into a new consulting relationship with the company or executing an amendment to an existing agreement and/or prior to accepting any management or officer role with the company (e.g., C-level positions, membership on the Board of Directors).
2. I will inform my supervisor of any proposed interactions between the University and the company of which I am aware. I will disclose my University position and my relationships with the company in any negotiations between the company and the University. I may advise and participate indirectly in negotiations between the company and the University. If there are no officers of the company who are not also University employees, only a company attorney or other third-party contractor may negotiate any non-standard agreements between the University and the company.
3. If I wish to participate in a research project sponsored by the company, I must disclose my financial interest in the company and agree to a COI management plan.
4. I will contact the Office of Research Compliance and Assurance before engaging in University research that is of commercial interest to the company (e.g. research that is evaluating or further developing its intellectual property) so that a plan to manage my conflict of interest can be implemented.
5. I will ensure that my related financial interest is disclosed in any IACUC or IRB protocols covering research that is sponsored by or of commercial interest to the company.
6. I understand that I cannot be the PI of any human subject research protocol covering research that is sponsored by or of commercial interest to the company. I may participate as a co-investigator under a COI Management Plan.

7. I will contact the Office of Research Compliance and Assurance before engaging in any new Public Health Service-funded research so that a determination can be made as to whether my relationship with the company gives rise to a Financial Conflict of Interest with such research.
8. I will disclose my financial interest in the company in all abstracts, publications, presentations, and press releases involving research sponsored by the company or that is of commercial interest to the company.
9. I will disclose my financial interest in the company in related applications or proposals to fund research of commercial interest to the company as directed by the funding source. If the instructions are unclear, I will contact the sponsor or agency for guidance.
10. I will seek the approval of my department chair and/or other relevant supervisor for approval of any "Incidental Use" of University resources and I will not use any University confidential data, funds, trademarks, or logos in performing current or future outside work for the company.
11. I understand that any proposed use of University facilities or resources by the company must be covered under a Facilities Use Agreement between the company and the University.
12. I will comply with the University policy on *Use of University Research Facilities and Equipment by External Entities*, as applicable.
13. I will not participate in any University purchasing decisions regarding the company's products or services.
14. I understand that I am not covered by USA's insurance policies when performing work for the company, and, specifically, any work I perform in connection with potential investors in the company.

PRINT NAME

DATE

SIGNATURE